



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

Docket Number:  
12170/6

Application Number  
09/809,025

Filing Date  
March 16, 2001

Examiner  
Not Yet Assigned

Art Unit  
2812

Invention Title  
**DIGITAL OPTIONS HAVING DEMAND-BASED,  
ADJUSTABLE RETURNS, AND TRADING  
EXCHANGE THEREFORE**

Inventor(s)  
**LANGE et al.**

Addressed to:

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, V.A. 22313-1450 on

Date:

*May 22, 2006*

Signature:

*Dalia S. Grimberg*  
Dalia S. Grimberg, Reg. No. 47,611

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicant hereby bring the following references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
2. Copies of each specified patent, publication or other information listed on the modified PTO form 1449 is enclosed, except as otherwise indicated on the modified PTO form 1449.
3. The Commissioner is authorized to charge Kenyon & Kenyon's Deposit Account No. 11-0600 for the \$180.00 fee for filing this Supplemental Information Disclosure Statement. A duplicate copy of this communication is enclosed for charging purposes.

Date:

*May 22, 2006*

By:

*Dalia S. Grimberg*  
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**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTS  
PTO FORM 1449**

Atty. Docket No.  
12170/6

Serial No.  
09/809,025

Applicant(s)  
LANGE et al.

Filing Date  
March 16, 2001

Group  
2812

**U. S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	Pub. No. US 2001/0051540 A1	Pub. Date December 13, 2001	Hindman et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS**

EXAMINER'S INITIALS		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered; whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.